

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Adam William Saxler et al.

Serial No.: To Be Assigned

Filed: Concurrently Herewith

For: NITRIDE-BASED TRANSISTORS AND METHODS OF FABRICATION
THEREOF USING NON-ETCHED CONTACT RECESSES

Date: July 11, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

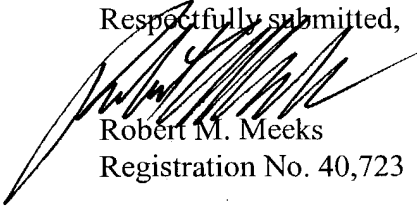
INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to our Deposit Account No. 50-0220.

Respectfully submitted,


Robert M. Meeks
Registration No. 40,723

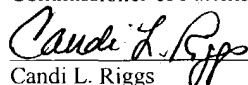


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PATENT TRADEMARK OFFICE

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Candi L. Riggs

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office <p style="text-align: center;">LIST OF DOCUMENTS CITED BY APPLICANT</p> <p style="text-align: center;">(Use several sheets if necessary)</p>	Attorney Docket Number <p style="text-align: center;">5308-248</p>	Serial No. To Be Assigned
Applicants: Adam William Saxler et al.		
Filing Date: Concurrently Herewith		Group

U. S. PATENTS & PATENT APPLICATION PUBLICATIONS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
1		Yu et al., "Schottky barrier engineering in III-V nitrides via the piezoelectric effect," Applied Physics Letters, Vol. 73, No. 13, September 28, 1998, pp. 1880-1882